



*Don't miss
this presentation!*

National Association of Stock Plan Professionals Quarterly Luncheon Meeting

The Secret Life of and Novel Applications of Employee Stock Purchase Plans

- Uncover the trends and future of 423b Qualified and Non-Qualified Plans.

Special emphasis is given to the power, capability and flexibility of the "Other" ESPP -- the non-tax qualified ESPPs that are fast becoming the most popular choices in today's marketplace. Learn about the differences between Registered and Unregistered Plans including special purposed, multifunctional direct investment plan solutions, 5%, 10%, 15%+ discount payroll deduction plans without many of the typical 423b restrictions and qualifications, restricted share compensation, capital raising potential for the company and more.

Speaker

Jim Volpe

Product Manager

Investment Plan Services

Wells Fargo Shareowner Services

Jim Volpe joined Wells Fargo Shareowner Services in 2008 bringing over thirty years of experience in the investment industry. He is the product manager for direct stock purchase plans, dividend reinvestment services, employee plans and equity compensation plan services. In this role, he is responsible for determining product strategy, revenue and profitability and providing consultative sales support. He works with Wells Fargo team members in administration, legal, operations and technology to develop and implement new products and enhancements.

Jim enjoys consulting with clients and prospects to solve problems and customize solutions. His goal is to create relevant investment plan products that are appropriate and cost effective solutions for them, their employees and their shareowners. He wants to meet and exceed expectations in the development and implementation of their Investment Plan Service and provide creative insight and solutions in addressing unique needs.

DATE:

Wednesday September 15th

LOCATION:

Hilton Dallas Lincoln Centre

5410 LBJ Freeway

Dallas, Texas 75240

972. 934.8400

(SE corner LBJ Frwy & Tollway)

TIME:

12:00pm to 1:30pm

(Check-in 11:30am)

MEETING SCHEDULE

Dallas Chapter meets
once quarterly

CHAPTER PRESIDENT

Bobby J. Fogle

PH 972.450.4360

bobby.fogle@ubs.com

Please RSVP by **Sept 13th** with
Diana Gilkes

diana.gilkes@ubs.com

972-450-4368

Admission is \$15

Seating is limited,

PLEASE RSVP